ET420197753405 #

Assignee Name: International Business Machines Corporation
Sir: Assignee Residence: Armonk, New York

Transmitted herewith for filing is the Patent Application of:

Inventors: Van Hensbergen
For: **System and Method for Knowledgeable Node Initiated TCP Splicing**

Enclosed are:

_X_   Patent Specification and Declaration.

_X_   sheets of drawing(s). (Formal) 8 Sheets.

_X_   An assignment of the invention to International Business Machines Corporation (includes Recordation Form Cover Sheet).

___   A certified copy of a _____ application.

_X_   Information Disclosure Statement, PTO 1449 and copies of references

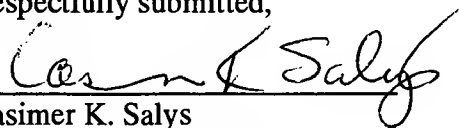The filing fee has been calculated as shown below:

For	Number Filed	Number Extra	Rate	Fee
Basic Fee				740.00
Total Claims	20	0	x $18	$ 0.00
Indep. Claims	3	0	x $84	$ 0.00
Mult. Dep. Claims	0	0	x $280	0

Total: $ 740.00

_X_   Please charge my Deposit Account No. 09-0447 in the amount of $ 740.00. A duplicate copy of this sheet is enclosed.

_X_   The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.

    _X_   Any additional filing fees required under 37 CFR Sect. 1.16.

    _X_   Any patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

By _____

Casimer K. Salys
Registration No. 28,900
Intellectual Property Law Dept.
IBM Corporation
11400 Burnet Road, Zip 4054
Austin, Texas 78758
Telephone: (512) 823-0092

Atty Ref. No. IBM-1058

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	:	Group Art Unit:
Van Hensbergen	:	Intellectual Property Law Department
Serial No.:	:	International Business
Filed:	:	Machines Corporation
Title: System and Method for	:	11400 Burnet Road
Knowledgeable Node Initiated TCP	:	Austin, Texas 78758
Splicing	:	

INFORMATION DISCLOSURE STATEMENT

The Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

    Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

    While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

    In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

    The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1).

    A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

By _Joseph T. Van Leeuwen_

Joseph T. Van Leeuwen
Attorney for Applicant
Registration No. 44,383
Telephone: (512) 301-6738
Facsimile: (512) 301-6742

Docket No. AUS920010613US1   Atty Ref. No. IBM-1058

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

Serial No. _____

Applicant: <u>**Van Hensbergen**</u>

Filing Date: _____

Group: _____

Atty. Docket No. <u>**AUS920010613US1**</u>

U. S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
_____	AA	5,941,988	Aug. 1999	Bhagwat et al.	713	201	
_____	AB	6,212,563	Apr. 2001	Beser	709	227	
_____	AC	5,828,840	Oct. 1998	Cowan et al.	395	200	
_____	AD	5,675,732	Oct. 1997	Majeti et al.	395	200.01	
_____	AE	6,249,523	Jun. 2001	Hrastar et al.	370	401	
_____	AF						
_____	AG						
_____	AH						
_____	AI						
_____	AJ						
_____	AK						
_____	AL						

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation Yes No
_____	AM						
_____	AN						
_____	AO						
_____	AP						

Examiner Initial		<u>**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**</u>
_____	AQ	Bhagwat et al., "TCP Slicing for Application Layer Proxy Performance"
_____	AR	
_____	AS	
_____	AT	
_____	AU	

Examiner: _____   Date Considered: _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.